

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the application of: Michael J. May and
Sankar Ghosh

Group Art Unit: 1645

Examiner: Not Yet Assigned

Serial No.: 09/847,940

Filed: May 2, 2001

For: "Anti-Inflammatory Compounds and Uses
Thereof"

Attorney Docket No.: PPI-117CP

Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Attn: Official Draftsman

TRANSMITTAL OF SUBSTITUTE DRAWINGS

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated August 8, 2001, Applicants' agent submits herewith Twenty-Four (24) Sheets of Substitute Drawings (Figures 1-24). Applicants respectfully submit that the substitute drawings are in compliance with 37 C.F.R. §1.84. Applicants request that the drawings be filed in the above-referenced patent application. A copy of the Formalities Letter is also enclosed. *No new matter has been added.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

October 9, 2001

Date

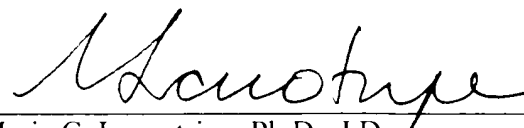
Maria C. Laccotripe

Limited Recognition Under 37 C.F.R. § 10.9(b)

LAHIVE & COCKFIELD, LLP

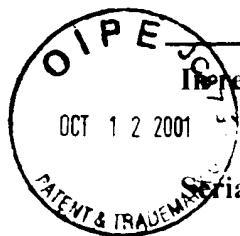
Attorneys at Law

By:


Maria C. Laccotripe, Ph.D., J.D.
Limited Recognition Under 37 C.F.R. §10.9
Agent for Applicants

Date: October 9, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Here the application of: Michael J. May and
Sankar Ghosh

Serial No.: 09/847,940

Filed: May 2, 2001

For: *"Anti-Inflammatory Compounds and Uses
Therefor"*

Attorney Docket No.: PPI-117CP

Group Art Unit: 1645

Examiner: Not Yet Assigned

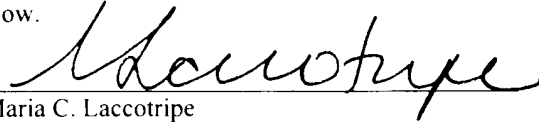
Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date set forth below.

October 9, 2001
Date of Signature and of Mail Deposit

By:


Maria C. Laccotripe
Limited Recognition Under 37 C.F.R. § 10.9(b)
Agent for Applicants

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

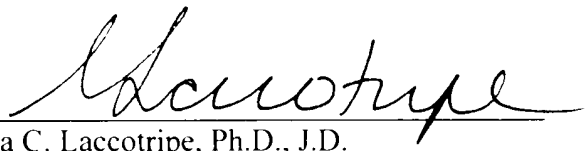
Responsive to the Notice to File Missing Parts of Nonprovisional Application dated August 8, 2001, Applicants' agent submits herewith an executed Declaration, Petition and Power of Attorney, Twenty-four (24) Sheets of Substitute Drawings and a check totaling **\$1,118.00** (*includes \$370.00 for basic filing fee; \$683.00 for additional claims fee; and \$65.00 for surcharge*) based on small entity status. Also enclosed please find a computer readable copy as well as a paper copy of the Sequence Listing and a copy of the Formalities Letter.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080.

Respectfully submitted.

LAHIVE & COCKFIELD, LLP

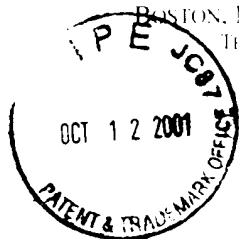
By: 
Maria C. Laccotripe, Ph.D., J.D.
Limited Recognition Under 37 C.F.R. §10.9(b)
Agent for Applicants

28 State Street
Boston, MA 02109
Tel. (617) 227-7400

Dated: **October 9, 2001**

LAHIVE
&
COCKFIELD
L L P

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
le@lahive.com



JOHN A. LAHIVE JR. 1928-1997
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DECONTI JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURETANO
KEVIN J. CANNING
JANE E. REMILLARD
DeANN FORAN SMITH
PETER C. LAURO
JEANNE M. DIGIORGIO
DEBRA J. MILASINCO Ph.D.
DAVID J. RIKKERS
DAVID R. BURNS
JOHN S. CURRAN
SEAN D. DETWEILER

CYNTHIA L. KANIK Ph.D.
MEGAN E. WILLIAMS Ph.D.
RICHARD AND
MICHAEL PHILLIPPS Ph.D.
LISA M. DIROCCO

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD JR.

PATENT AGENTS
PETER S. STECHER
THEODORE R. WEST
SHAYNE Y. HUFF Ph.D.
HATHAWAY P. RUSSELL
DANIEL B. KO

TECHNICAL SPECIALISTS

MARIA LACCOTRIPE ZACHARAKIS Ph.D.
CYNTHIA M. SOROOS
PETER W. DINI Ph.D.
EUIHOON LEE
JENNIFER K. ROSENFELD
ALLAN TAMESHITT Ph.D.
CATHERINE E. McPHERSON
ERIC F. WAGNER Ph.D.
SHAHID HASAN Ph.D.
JACOB G. WENTRAUB
PETER A. DIMATTIA
JONATHAN M. SPARKS Ph.D.
DR. STINE HOWLEY Ph.D.
VINCENT P. LOCCISANO
MEREDITH C. ARNOLD

* Admitted in NY only
** Passed the Patent Bar Examination

October 9, 2001

Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Re: U.S. Continuation-in-Part Patent Application Serial No. 09/847,940
Title: *"Anti-Inflammatory Compounds and Uses Thereof"*
Inventors: Michael J. May and Sankar Ghosh
Filing Date: May 2, 2001
Attorney Docket No. PPI-117CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Nonprovisional Application;
2. Executed Declaration, Petition and Power of Attorney (6 pages);
3. Transmittal of Substitute Drawings (in duplicate);
4. Twenty-Four (24) Sheets of Substitute Drawings (Figures 1-24);
5. Preliminary Amendment and Response to Notice to Comply with Requirements of 37 C.F.R. 1.821-1.825 as Set Forth in the Notice to File Missing Parts of Nonprovisional Application;
6. Transmittal Letter for Diskette Containing Sequence Listing;
7. Paper Copy of Sequence Listing (Pages 1-7);
8. Diskette Containing Computer Readable Copy of Sequence Listing;
9. Copy of Notice to File Missing Parts of Nonprovisional Application (Formalities Letter);
10. Statement of Limited Recognition Under 37 C.F.R. §10.9(b) for Maria C. Laccotripe;
11. Check for **\$1,118.00** (basic filing fee, additional claims fee and surcharge);
12. Pre-paid acknowledgment postcard.

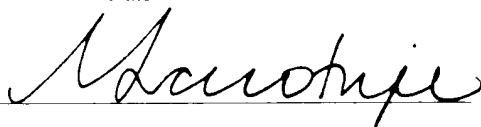
No additional costs are believed to be due in connection with the filing of this Response to Missing Parts. However, please charge any necessary fees in connection with the enclosed filing to our Deposit Order Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *For this purpose, a duplicate of this sheet is attached.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

October 9, 2001

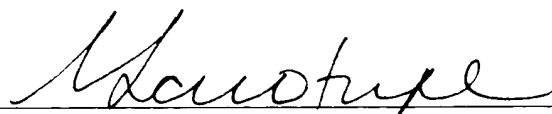
Date



Maria C. Laccotripe
Limited Recognition Under 37 C.F.R. § 10.9(b)

LAHIVE & COCKFIELD, LLP

Attorneys at Law

By: 

Maria C. Laccotripe, Ph.D., J.D.
Limited Recognition Under 37 C.F.R. §10.9(b)
Agent for Applicants
28 State Street
Boston, MA 02109-1784
(617) 227-7400

Date: **October 9, 2001**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/847,940	05/02/2001	Michael J. May	PPI-117CP

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

CONFIRMATION NO. 6156

FORMALITIES LETTER



OC000000006398758

Date Mailed: 08/08/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$662.
 - \$207 for 23 total claims over 20.
 - \$320 for 8 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1082.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

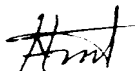
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May

15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE